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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,863	11/01/2001	Eric H. Holmes	20093A-002220US

CONFIRMATION NO. 3956

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000007464296

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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ORIGINALLY FILED

PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1

Application Number

10/040,863

Filing Date

November 1, 2001

First Named Inventor

Eric H. Holmes

Group Art Unit

1653

Examiner Name

Not Assigned Yet

Attorney Docket Number

20093A-002220US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☒ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

Return Postcard; Copy of Declaration and
Power of Attorney; Copy of Previously
Submitted Postcard

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

Brian W. Poor

Reg. No. 32,928

Signature

Brian W. Poor

Date

March 15, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

March 15, 2002

Typed or printed name

Wendy Walton

Signature

Wendy Walton

Date

March 15, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SE 5012113 v1



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents Attn: Box Missing Parts Washington, D.C. 20231 On March 15, 2002 By: Gregory Wain

PATENT
Attorney Docket No.: 20093A-002220US

**COPY OF PAPERS
ORIGINALLY FILED**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric H. Holmes *et al.*

Application No.: 10/040,863

Filed: November 1, 2001

For: NUCLEIC ACIDS AND PROTEINS
OF A RAT GANGLIOSIDE GM1-
SPECIFIC ALPHA 1-2
FUCOSYLTRANSFERASE AND USES
THEREOF

Examiner: Not Assigned Yet

Art Unit: 1653

**RESPONSE TO NOTICE OF MISSING
PARTS**

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-provisional Application, dated February 12, 2002, enclosed please find a copy of the postcard previously submitted to the Patent and Trademark Office indicating that a copy of the Declaration and Power of Attorney was submitted and acknowledged as received by the Office. The above application is a divisional application of 09/298,886, filed April 23, 1999. As such a newly executed Oath and/or Declaration is not required and a copy filed in the prior application is all that is necessary. 37 C.F.R. 1.63(d)(1). We have enclosed another copy of the Declaration for your convenience.

Applicant believes that no fee is required at this time. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit



Eric H. Holmes *et al.*
Application No.: 10/040,863
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PATENT

Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

If you have any questions or comments, please do not hesitate to contact the undersigned at the number listed below. Thank you for your assistance in this matter.

Respectfully submitted,

Brian W. Poor
Reg. No. 32,928

Dated: 15 March 2002

Customer No. 20350

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BWP:waw

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